

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q96927

Damian FIOLKA, et al.

Appln. No.: 10/591,591

Group Art Unit: 2871

Confirmation No.: 3390

Examiner: Not Yet Assigned

Filed: September 5, 2006

For: TRANSMISSION FILTER APPARATUS

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In addition, applicants are submitting English-language counterparts for certain ones of the foreign-language documents. For the remaining foreign-language documents, concise statements of relevance are found in the Background discussion of the above-identified application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

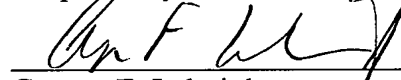
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**23373**

CUSTOMER NUMBER

Respectfully submitted,



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Registration No. 36,359

Date: July 16, 2007

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/591,591
				Confirmation Number	Not Yet Assigned
				Filing Date	September 5, 2006
				First Named Inventor	Damian FIOKA
				Art Unit	Not Yet Assigned
Examiner Name				Not Yet Assigned	
Attorney Docket Number				Q96927	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0176166	A1	11/28/2002	SCHUSTER
		US 5,343,489	A	8/30/1994	WANGLER
		US 2003/0128347	A1	7/10, 2003	CASE ET AL.
		US 2002/0153362	A1	10/24/2002	SANDSTROM ET AL.
		US 5,316,896	A	5/31/1994	FUKUDA ET AL.
		US 6,261,728	B1	7/17/2001	LIN
		US 5,144,362	A	7/1/1992	KAMON ET AL.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	02/093257	A2	11/21/2002	CARL ZEISS	
		DE	41 24 311	A1	1/28/1993	CARL ZEISS	CORRES TO US'489
		EP	1 020 769	A2	7/19/2000	SVG LITHOGRAPHY SYSTEMS, INC.	
		EP	0 764 858	B1	10/1/2003	CARL ZEISS SMT AG	
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		WO	02/071136	A2	9/12/2002	ROBERT BOSCH GMBH	
		EP	0 887 691	A2	12/30/1998	OIS OPTICAL IMAGING SYSTEMS, INC.	
		DE	101 27 225	A1	11/28/2002	CARL ZEISS	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		HELMUT NAUMANN et al., "BAUELEMENTE DER OPTIK", MODULATOREN, 6TH ED. 1992, PP. 599-605, VOL. 22, CARL HANSER VERLAG MUNICH, GERMANY	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.